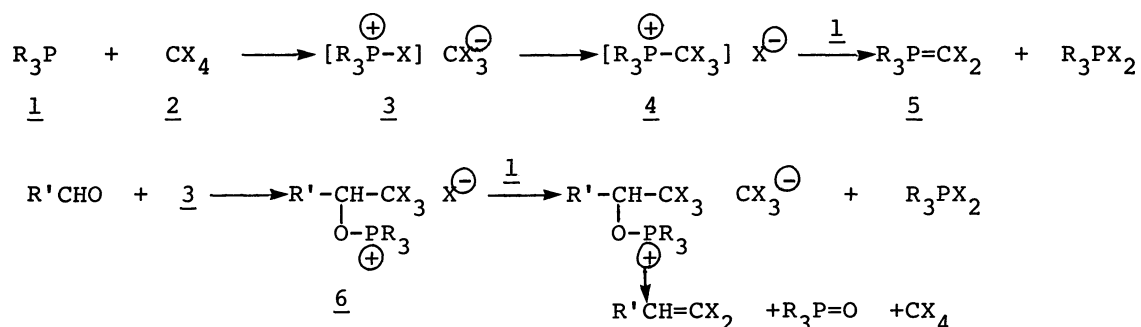


REACTION OF TRIPHENYLPHOSPHINE-CARBON TETRAHALIDE
REAGENT WITH α -KETO- γ -LACTONE

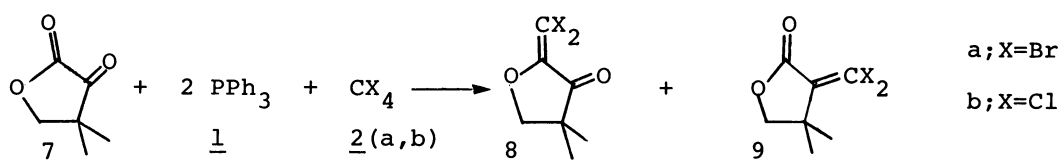
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Triphenylphosphine-carbon tetrahalide reagent reacts with 4,4-dimethyloxolan-2,3-dione to afford two dihalomethyleneoxolanes, 8 and 9. The major reaction course can be changed by the addition order of the reagents. Reaction mechanisms are discussed.

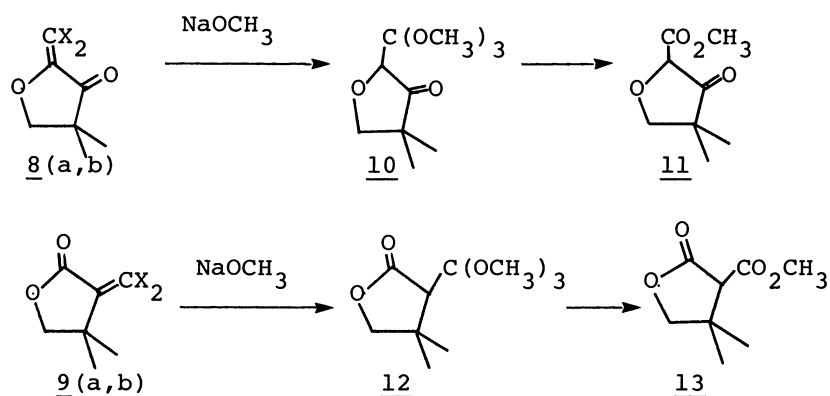
Triphenylphosphine 1 (R=Ph) with carbon tetrahalide 2 (CBr₄ and CCl₄) has been known to react with carbonyl compounds to produce 1,1-dihalogeno-olefins.¹⁾ This reagent is also useful for the exchange of hydroxy group with a halogen atom.²⁾ In the former transformation, phosphonium salt 3, which is produced from 1 and 2 via 3, reacts further with 1 to form a ylid 5 (R=Ph). This ylid has been believed to react with carbonyl compounds (Wittig type reaction) to afford 1,1-dihalogeno-olefins. On the other hand, when tris(dimethylamino)-phosphine 1 (R=NMe₂) is used in place of triphenylphosphine, no ylid of the type 5 has been claimed to be formed.³⁾ Instead, carbonyl compounds react with phosphonium salt 3 (R=NMe₂) producing an adduct 6. Subsequent transformation shown in the following scheme generates 1,1-dihalogeno-olefins. In this report, we present our findings that more than one reaction pathways are involved in this dihalomethylenation reaction using triphenylphosphine-carbon tetrahalide system, suggesting the presence of an interaction between 3 and carbonyl group prior to the ylid formation.



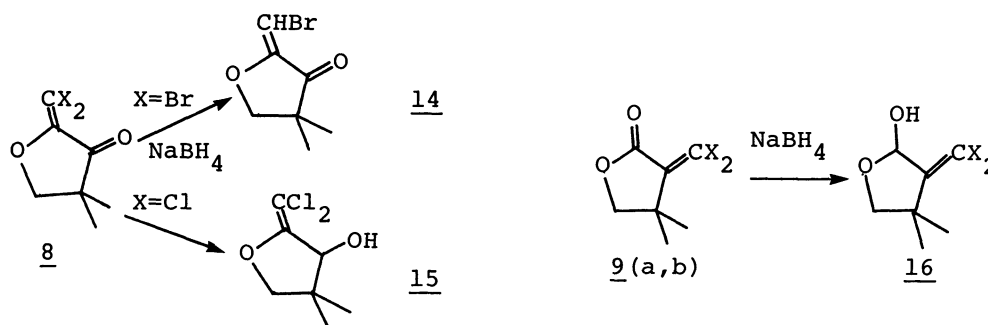
In connection with our project to prepare new derivatives of α -methylene- γ -lactones, which are expected to exhibit biological activities, we examined a reaction of $\underline{1}$ (R=Ph) + $\underline{2}$ reagent with 4,4-dimethyloxolan-2,3-dione $\underline{7}$ and found that two dihalogeno-olefins were produced. Experimentally, when $\underline{1}$ (R=Ph) was added in small portions into a solution of $\underline{2}$ (X=Br) and $\underline{7}$ in dichloromethane (Method A), two dibromoolefins $\underline{8a}^{4)}$ and $\underline{9a}^{5)}$ were isolated in 21 and 58% yields, respectively. On the other hand, when ylid $\underline{5}$ (R=Ph, X=Br), which was produced by mixing $\underline{1}$ (R=Ph) and $\underline{2}$ (X=Br) in dichloromethane, was treated with $\underline{7}$ (Method B), the same products were obtained, but in this case the yields were 57% for $\underline{8a}$ and 13% for $\underline{9a}$. This diverse reactivity was also observed with triphenylphosphine-carbon tetrachloride reagent; $\underline{8b}$ and $\underline{9b}$ were isolated in 5 and 59% yields, respectively, in Method A, while isolated yields in Method B were 44% for $\underline{8b}$ and 38% for $\underline{9b}$.



The structures of these products were determined from spectroscopic data and by chemical transformations. When $\underline{8}$ (a,b) was treated with excess sodium methoxide an orthoester $\underline{10}^{6)}$ was obtained. This was hydrolyzed upon standing at room temperature to a ketoester $\underline{11}^{7)}$. Reaction of $\underline{9}$ (a,b) with sodium methoxide formed similarly an orthoester $\underline{12}^{8)}$ and its hydrolysis afforded a diester $\underline{13}^{9)}$. Chemical shifts of methyne protons in these products [δ 4.12($\underline{10}$), 4.58($\underline{11}$), 2.59($\underline{12}$), and 3.22($\underline{13}$)] in NMR spectra clearly distinguish the structures. Also, mass spectra of $\underline{8}$ show a strong fragmentation peak at $M^+ - 84$, corresponding to $CX_2=C=O$.



Sodium borohydride reduction was rather deceptive. Reduction of 8a and 8b produced 14¹⁰⁾ and 15,¹¹⁾ while 9(a,b) was reduced to lactol 16(a,b).¹²⁾



In order to know the mechanism of this dihalomethylenation reaction, several control experiments were performed using CBr_4 in Method A, and we obtained following observations. 1) Even when 1/10 of the required amount of 1 (R=Ph) was added, 9a was formed. 2) When the added amount of 1 (R=Ph) was less than a half of the total, only 9a was produced. 8a appeared near the end of addition of 1. This suggests that 8 and 9 are not produced from a common intermediate. 3) Addition of triphenylphosphine dibromide had no effect on the reaction course. 4) When reagent 5 (R=Ph, X=Br) was prepared either from 1 (R=Ph), 2 (X=Br), and zinc,¹³⁾ or from 1 (R=Ph), CHBr_3 , and potassium t-butoxide,¹⁴⁾ and treated with 7, both 8a and 9a were obtained in very low yields. Furthermore, similar diverse reactivity of (1 + 2) reagent has been reported in the reaction with alcohols. Namely, halides are obtainable only when the alcohol is present in the reaction mixture before the addition of 1¹⁵⁾ (this corresponds to Method A in this report).

Above results suggest that no ylid intermediate of the type 5 was formed in Method A, at least at the early stage of addition of 1 (R=Ph). In Method A, initially formed phosphonium salt 3 (R=Ph, X=Br) reacts with ketone carbonyl in 7 preferentially before the formation of ylid 5. And once a ylid 5 (R=Ph) is formed, it reacts with both carbonyl groups in 7 affording 8 as the major product. This indicates that besides the accepted ylid reaction via 5 (R=Ph), dihalomethylenation of carbonyl compounds using triphenylphosphine proceeds by direct interaction between phosphonium salt 3 and carbonyl compound prior to ylid formation (a mechanism similar to the one using tris(dimethylamino)phosphine). And the chemoselectivity of these two nucleophilic reagents (3 and 5) toward 7 was quite marked.

In the above, we showed that two different pathways seems to be involved in the dihalomethylenation of α -keto- γ -lactone by triphenylphosphine-carbon tetrahalide reagent, and major reaction course can be controlled by changing the addition order of reagent.

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- 4) bp 76-78°C/0.5 Torr.; NMR δ 1.22(6H,s), 4.15(2H,s); IR 1740, 1590, 1465, 1270, 1180, 1160, 1000, 860, 740 cm^{-1} ; MS m/e 286(24%), 284(49), 282(26), 271(6), 269(13), 267(7), 202(41), 200(84), 198(44), 174(7), 172(15), 170(8), 56(73), 41(100).
- 5) mp 84-85°C; NMR δ 1.44(6H,s), 3.88(2H,s); IR 1760, 1600, 1470, 1255, 1150, 1050, 1030, 770 cm^{-1} ; MS m/e 286(16%), 284(30), 282(15), 271(22), 269(43), 267(22), 243(20), 241(41), 239(21), 228(6), 226(19), 224(10), 205(49), 203(45), 161(48), 159(46), 147(93), 145(89), 65(76), 39(100).
- 6) NMR δ 1.15(6H,s), 3.40(9H,s), 3.85(1H,d,J=8Hz), 4.07(1H,d,J=8Hz), 4.12(1H,s).
- 7) NMR δ 1.17(3H,s), 1.20(3H,s), 3.80(3H,s), 3.98(1H,d,J=9Hz), 4.15(1H,d,J=9Hz), 4.58(1H,s); IR 1750, 1230, 1100, 1070 cm^{-1} .
- 8) NMR δ 1.17(3H,s), 1.21(3H,s), 2.59(1H,s), 3.37(9H,s), 3.75(1H,d,J=8Hz), 4.03(1H,d,J=8Hz); IR 1780, 1080 cm^{-1} ; MS m/e 187(49%, $\text{M}^+ - \text{OCH}_3$).
- 9) NMR δ 1.15(3H,s), 1.30(3H,s), 3.22(1H,s), 3.78(3H,s), 3.97(1H,d,J=9Hz), 4.15(1H,d,J=9Hz); IR 1790, 1720, 1160, 1025 cm^{-1} ; MS m/e 173(5%, $\text{M}^+ + 1$).
- 10) NMR δ 1.22(6H,s), 4.22(2H,s), 6.27(1H,s); IR 3090, 1740, 1625, 1300, 1140, 995, 720 cm^{-1} ; MS m/e 206(26%), 204(25).
- 11) NMR δ 1.05(3H,s), 1.13(3H,s), 2.40(1H,d,J=3Hz,OH), 3.86(1H,d,J=8Hz), 4.08(1H,d,J=8Hz), 4.28(1H,d,J=3Hz); IR 3200, 1020 cm^{-1} ; MS m/e 200(5%), 198(30), 196(45).
- 12) **16a**; NMR δ 1.33(3H,s), 1.37(3H,s), 3.30(1H,OH), 3.73(1H,d,J=8Hz), 4.08(1H,d,J=8Hz), 5.75(1H,d); IR 3390, 1650, 1620, 1115, 1070, 1035, 770 cm^{-1} ; MS m/e 287(2%), 285(3), 283(2).
16b; NMR δ 1.29(3H,s), 1.35(3H,s), 3.67(1H,d,J=8Hz), 4.02(1H,d,J=8Hz), 4.27(1H,d,J=4Hz), 5.81(1H,d,J=4Hz); IR 3370, 1640, 1120, 1070, 1030, 1015, 890 cm^{-1} ; MS m/e 183(1%), 181(8), 179(12) ($\text{M}^+ - \text{OH}$).
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